

Summary Internal Controls Assurance (SICA) Report 2022/2023 (TIAA, Chris Harris)

Synopsis of report:

To inform Members on the progress made to date by TIAA, the Council's internal auditors, on the outstanding 2021/22 audits and the 2022/2023 Internal Audit Annual Plan.

Recommendation(s):

None. This report is for information.

1. Context of report

1.1 Attached at Appendix 'A' is the most recent Summary Internal Controls Assurance (SICA) Report, as at 2 November 2022.

2. Report

2.1 The report identifies 4 audits completed since the last meeting of this Committee. These are:

2021/22 Audits

Communications	Substantial
Main Accounting	Substantial
Customer Services	Reasonable
Sheltered Accommodation	Reasonable

2.2 Fieldwork is in progress for 13 audits from the 2022/2023 plan and one audit has been finalised.

2.3 Two client briefing notes have been issued by TIAA since the last report to Committee, which can be circulated to Members of the Committee separately, on request.

3. Resource implications

3.1 The audit service is budgeted for in the Council's annual budgets, with a small contingency to cover unforeseen audits.

4. Legal and Equality implications

4.1 None.

(For information)

Background papers

Relevant Internal Audit working files and reports